

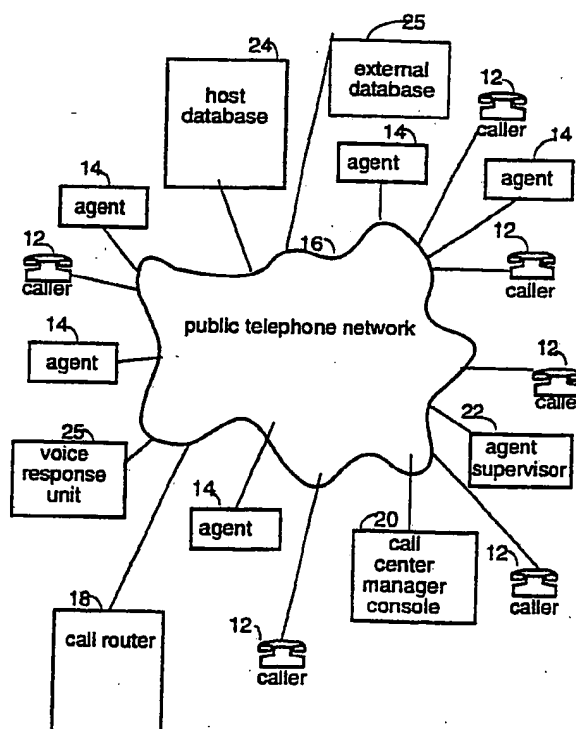
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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## (54) Title: TELEPHONE CALL HANDLING SYSTEM

## (57) Abstract

A system for automatically handling incoming telephone calls including a circuit for receiving an incoming telephone call arriving at an incoming line, a circuit (18) for receiving telephony information about the incoming telephone call, a circuit for assigning one of a plurality of possible application programs to handle the call based upon the information, the circuit (38) for assigning including a call discrimination subsystem, at least one application program including queries for obtaining further information about the call, and a circuit for returning control to the call discrimination subsystem to reassign the call based upon the telephony information and the further information.



# + DESIGNATIONS OF "SU"

Any designation of "SU" has effect in the Russian Federation. It is not yet known whether any such designation has effect in other States of the former Soviet Union.

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## TELEPHONE CALL HANDLING SYSTEM

Background of the Invention

The invention relates to systems for automatically  
5 handling incoming telephone calls.

An automatic call distributor (ACD) is a type of  
system for automatically handling incoming telephone  
calls. An ACD is designed to efficiently route calls,  
such as toll-free "800" calls, to agents in telemarketing  
10 and service inquiry centers and provides specialized  
real-time call management and report generation  
capabilities. An ACD is a unique communications product  
in that it directly supports the operation and management  
of a customer's business. The ACD monitors the status of  
15 each agent and, when an incoming call is received,  
selects the agent best able to handle a particular  
marketing or service request. The ACD also provides  
detailed reporting on the performance of the agents in  
their tasks, reporting such statistics as the number of  
20 calls handled and the time spent in various stages of  
call handling.

ACDs can have different application programs to  
handle calls in different ways, e.g., one application  
program to handle sales calls and a different application  
25 program to handle service calls.

Summary of the Invention

In one aspect, the invention features in general  
automatically handling incoming telephone calls by a call  
discrimination subsystem that receives telephony  
30 information about the incoming telephone calls (e.g.,  
number dialed, incoming line, number of caller) and  
assigns the incoming telephone call to one of a plurality  
of possible application programs to handle the call based  
upon the telephony information. At least one of the

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application programs includes queries for obtaining further information about the incoming call (e.g., in response to questions to the caller by a voice response unit or customer information on file) and thereafter the incoming call is returned to the subsystem to reassign the call based upon the original telephony information and the further information that was obtained.

In another aspect, the invention features, in general, automatically handling incoming telephone calls by comparing telephony information about the call with telephony digit patterns that have been input by a user and are associated with a respective application program. The digit patterns include patterns for plural fields corresponding to different types of telephony information. The call discrimination subsystem includes a translation module that translates the patterns into assignment logic for assigning the incoming calls. The translation module treats all patterns in the same field as logical OR operations and patterns in different fields as logical AND operations in creating the assignment logic. The assignment logic created by the translation module includes a global name table that lists a global application index (GAI) for each possible application program, a global-to-local application table (GLAT) for each field, and a field matrix (FM) for each field; the GLAT matches each GAI to local application indexes (LAI) that each identify a telephony pattern associated with an application program; the FM includes sets of pattern digit values along one axis and pattern digit positions along another axis and sets of LAI values at the intersections of digit values and digit positions.

In another aspect, the invention features in general selecting agents to handle incoming calls by maintaining a list of quantitative agent performance values that are continuously updated by a monitoring

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system. E.g., the total sales made by each agent can be monitored so that the call can be first directed to the agent making the most sales. The system could also monitor the average time spent by each agent per call and  
5 direct the call to the agent having the lowest (or highest) average time values.

In another aspect, the invention features in general, selecting agents to handle incoming calls by maintaining a plurality of lists of quantitative  
10 selection values that correspond to different criteria for agent selection, and providing a means for the user to select which list, and thus which criterion, is employed in selecting agents. The criteria can include total sales made by each agent, an average time to handle  
15 each call by each agent, an agent performance rank assigned to each agent, and a waiting time list listing the amount of time each agent has been ready to receive a call.

In another aspect, the invention features in  
20 general automatically handling incoming calls by maintaining a list of quantitative agent selection values (not necessarily related to agent performance) and modifying the selection values based upon respective offset values assigned to the agents in order to obtain  
25 effective selection values for the agents. This gives the user flexibility in employing quantitative selection values, e.g., permitting the user to guarantee that a new agent is not receiving too many calls, even if he might be achieving high total sales or low times per call when  
30 selection values are based on one of these criteria.

In another aspect, the invention features automatically handling incoming telephone calls by a system that selects one agent of a plurality of agents to receive the call and provides one command of a plurality  
35 of possible commands regarding the handling of the

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incoming call depending upon which agent has been selected.

In preferred embodiments, the command can be a command to play a message to the caller, a command to communicate with an agent supervisor regarding the call, a command to obtain information from an external database and to send the information prior to connecting the incoming call, or a command to take some action after the agent has completed handling the incoming call and has been disconnected from the incoming call. The various commands can be entered by a user of the system using an interactive input device. The input device is adapted to input a list of agent pool identifiers and actions to be taken before connecting the incoming call to an agent in the indicated pool and actions to be taken after the incoming call has been disconnected from an agent in the indicated pool. The system translates the list into a list of pointers to instruction lists that provide the commands to cause the indicated actions.

In another aspect, the invention features in general automatically handling incoming telephone calls by a system that counts the number of incoming calls (or the number of incoming calls that have reached a specified step in the call handling process) and handles a portion of the total number of calls by a different method than the remainder of the calls. E.g., an agent supervisor can be connected to listen to a conversation between an agent and a caller making an incoming call; alternatively, the agent supervisor can be selected as the agent to receive an incoming call in order to sample the types of incoming calls, or different messages can be played to different incoming callers to evaluate the effectiveness of the messages.

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Other advantages and features of the invention will be apparent from the following description of the preferred embodiment thereof and from the claims.

#### Description of the Preferred Embodiment

5           Fig. 1 is a block diagram of a system for automatically handling an incoming telephone call according to the invention.

          Fig. 2 is a block diagram of the software architecture used in a call router of the Fig. 1  
10       automatic call handling system.

          Fig. 3 is a block diagram of the software architecture for a call center manager control console employed in the Fig. 1 system.

          Figs. 4 and 5 are tables illustrating the use of  
15       quantitative agent selection values by an agent selection mechanism of the Fig. 2 call router.

          Fig. 6 is a table presenting different agent ranking criteria that could be used in the agent selection mechanism depending upon the type of agent  
20       pool.

          Fig. 7 is a diagram illustrating the effect of a before/after mechanism of the Fig. 2 call router on a display screen of an agent station.

          Figs. 8 shows a fragment of a program containing  
25       before/after statements.

          Fig. 9 shows a stack of pointers used to implement the Fig. 8 statements.

          Fig. 10 is flow chart for executing the instructions indicated by the Fig. 9 stack of pointers.

#### 30       Structure

          Referring to Fig. 1, the various components of an automatic call handling system are shown. The call handling system distributes incoming calls from callers

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12 to agent stations 14 that are connected to public telephone network 16. (In fact there would be a much larger number of both callers and agents.) The call handling system includes call router 18, call center manager module 20, agent supervisor station 22, host database 24, and voice response unit 25. These components and agent stations 14 are each connected to public telephone network 16 via a network service interface, which in the preferred embodiment is an integrated systems digital network (ISDN) interface. Call router 18 is used to cause network 16 to connect an incoming call of a caller 12 to one of the agent stations 14. Call router 18 selects the agent station 14 to receive an incoming call based upon user-selected criteria, including dynamically changing information as to agent performance, which is monitored by the system. Call center manager console 20 provides an interface for the system manager to input information to configure the operation of system (as is described in detail below) and to monitor the operation of the system. Agent supervisor station 22 is used to monitor the performance of agents by an agent supervisor. Host database 24 and external database 25 include business databases (e.g., order entry, customer information, service schedules) which are accessed by call router 18. The hardware platforms employed in the components of the call handling system and various control algorithms are as described in U.S. Serial No. 07/441,945, filed on November 27, 1989, which is hereby incorporated by reference.

Referring to Fig. 2, software architecture for call router 18 is shown. Network communications interface 26 is connected to a plurality of incoming telephone lines 28 via a communications driver layer (not shown in Fig. 2). Network communications interface 26 feeds event handler 22, which tracks the system state as



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seen by call router 18 and filters incoming events to  
notify appropriate subprocessors. Event handler 30 feeds  
actions to state manager 32 and queries to routing  
interpreter 34 and receives actions from routing  
5 interpreter 34.

Three important subsystems of call router 18 are  
call discriminator 38 (used to determine which  
application program is to be used), agent selector  
mechanism 36 (used to select which agent should receive a  
10 call), and before/after mechanism 42 (used to control  
actions before and after connection of an incoming call  
to an agent). Call discriminator 38 receives queries  
from routing interpreter 34 and accesses call  
discriminator table 40. Agent selector mechanism 36  
15 resides in state manager 32, and before/after mechanism  
42 resides in routing interpreter 34, which accesses  
routing tables 37. The operation of call discriminator  
38 and before/after mechanism 42 are user configurable by  
the system manager at call center manager console 20.  
20 The operation of agent selector mechanism 36 is user  
configurable by the system manager at call center manager  
console 20 or an agent supervisor at agent supervisor  
station 24.

Referring to Fig. 3, call center manager console  
25 20 includes interactive user interface 44 (e.g., a CRT  
and keyboard), which is used by the operator to enter  
information necessary to program the call discrimination,  
agent selection and before/after functions implemented by  
call router 18. The information is entered employing  
30 high-level, simplified languages, and translator modules  
46, 48, 50 are adapted to convert the entries in the  
high-level languages into machine readable entries. In  
particular, call discriminator translator module 46  
includes algorithms to convert telephony digit patterns,  
35 described in more detail below, into call discriminator

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table 40. Agent selector mechanism module 48 includes algorithms to convert value and offsets for quantitative agent selection criteria into a format used by agent selector mechanism 36. Agent selector module 48 can also  
5 reside in agent supervisor station 22, permitting the agent supervisor to control the agent selection criteria and offsets. Routing language module 50 includes algorithms to convert lists of before/after clauses (indicating actions to be taken before connecting a call  
10 to an agent and after the call has been completed) into pointers to instructions in routing tables 37. Console 20 also includes communications interface 52 for sending the outputs of modules 46, 48, 50 over public telephone network 16 to call router 18.

#### 15 Operation

The general operation of call handling system will be described first, and then the user configuration and operation of the call discrimination, agent selection and before/after subsystems will be described in turn in  
20 detail. When an incoming call is received by call router 18, a call record is established in memory in call router 18 to store data about the call that can be accessed by and added to by various processes in call router 18. In the initial stage of routing, routing  
25 interpreter 34 calls call discriminator 38 to determine which application program (e.g., sales or service or a particular sales or service application program) within routing interpreter 34 should be employed to handle the call. The selected application program is then used to  
30 continue processing, which generally includes identification of an agent pool or pools from which an agent can be selected to handle the call and adding entries identifying the pool and specifying actions to be taken during continued handling of the call to the call

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record. State manager 32 then adds the call to the queue for an agent pool, employing agent selector mechanism 36 to choose between available agents. When an available agent has been selected, the selected agent  
5 identification is added to the call record. Event handler 30 then takes the actions indicated in the call record, generally including transferring the incoming call to the selected agent by instructing network 16 to transfer the call to the selected agent station 14.  
10 Information regarding the calls and the status of agents is continuously transferred between call router 18 and agent stations 14 and other components of the call handling system over the X.25 network.

#### Call Discrimination Subsystem

15 Call discriminator 38 accesses telephony information about an incoming telephone call (e.g., number dialed, incoming line, number of caller) in the call record and assigns the incoming telephone call to one of a plurality of possible application programs to  
20 handle the call based upon the telephony information. In assigning an application program, call discriminator 38 compares the telephony information associated with an incoming call with the digit patterns of telephony data associated with the various application programs in call  
25 discriminator table 40.

Call discriminator table 40 is created by information entered by the system manager into interactive input device 44 of call center manager console 20. Console 20 employs a simple programming  
30 language that hides logical operations from the system manager. Call discriminator translator module 46 in console 20 converts a user program written in simple language into machine-readable call discriminator table 40.

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The system manager enters application selection information in the form of digit patterns for various fields of telephony information that are associated with application programs. The following fields are used in  
5 the preferred embodiment, but the system is expandable to any number of fields.

BRI: Basic Rate Interface is the number of the line 28 on which the call arrived.

DNIS: Dialed Number Identification Service  
10 identifies the telephone number dialed by the caller to reach the incoming line. It is used when the same incoming line has more than one telephone number and is a service of the telephone carrier.

CLID: Calling Line Identification identifies the  
15 telephone number from which the caller is placing the call. Its delivery is a service of the telephone carrier, and may be received when the call arrives, or requested while the call is in progress.

DIALED: The dialed field contains digits dialed  
20 by the caller in response to voice prompts after the call has been answered. It may also contain additional digits, such as a customer account number obtained by querying a customer database.

Each field name (BRI, DNIS, CLID, DIALED) is  
25 associated with a field table (FT). The collection of all field tables is machine readable call discriminator table 40. An FT has two parts: a global to local application table (GLAT) and a field matrix (FM). The GLAT is explained below. The FM has 11 columns (one for  
30 each digit 0, 1, 2 ... 9, and a special column for unspecified digits). The FM has one row for each digit position in the field; for example, CLID has 10 digits and its FT has 10 rows, while DNIS has 4 digits, and its FT has only 4 rows. Each entry in the FM consists of the  
35 set of application programs which can have that digit

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value (represented by the column) in that position (represented by the row). These sets are generated automatically by call discriminator translator module 46, from the list of applications and digit patterns provided by the user. Typically, each of these sets has more than one element.

Translator module 46 includes grammar to translate any user program written in that grammar into machine readable call discriminator table 40. A name in brackets (<name>) denotes a placeholder for an arbitrary name, and a name not in brackets must be entered literally. The syntax for the grammar is

```

    <application name> application
    <list of initial attributes>
15    pattern
        BRI:          <list of patterns>
        DNIS:         <list of patterns>
        CLID:         <list of patterns>
        DIALED:       <list of patterns>
20    match
```

The list of initial attributes, which is optional, can specify a particular agent or a high or low priority when queuing before agent pools. Not all the fields (BRI, CLID, DNIS, DIALED) need to be entered, and they may appear in any order. Also, the patterns can include capital letters representing telephone digits (A-P, R-Y); e.g., 3xx USA 123x could be a valid pattern. A list of patterns is of the form

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<combination of digits, letter x, spaces> \n  
<combination of digits, letter x, spaces> \n

5

\n stands for the new line character (carriage return); x stands for any digit 0, 1, 2 ... 9. Spaces in the pattern are ignored. The following is an example of a pattern list including ten digit telephone numbers:

10

617 466 xxxx

305 xxx xxxx

415 xxx xxxx

15

The first entry specifies all phone numbers in the 617 area code and 466 local exchange. The second and third entries include all phone numbers in the 305 and 415 area codes.

20

Translator module 46 interprets each new line character as a logical OR operation, and each appearance of a field name as a logical AND operation. This is implemented by adding, for each pattern in a pattern list, a new local application index (for the corresponding field, and matched to the global application in which the pattern appears). For each digit in the pattern, that local application index is added to the sets in the field matrix. An automated

25

software tool, known in the art as "yacc", is employed to generate a C program used in translator module 46 from the grammar described above and fragments of C program code (not complete programs or subroutines), according to established techniques in computer science, as described

30

in, e.g., Aho, Sethi, Ullman, Compilers: Principles, Techniques and Tools, (Addison-Wesley, 1986) and Unix System V.3.2/386 Programmer's Guide, Volume, Chapter 6, AT&T, (1988) and as available in the Unix V.3.2/386 operating system. The output of yacc is a C program that translates the digit patterns input by the system manager

35

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according to the grammar into an output language specified by the fragments of C code given to yacc; the output language here is call discriminator table 40.

An example with two application programs is described here to illustrate the use of the call discrimination subsystem. The systems operator wishes to classify as sales calls all arriving calls that are received on lines 20-29, and which are dialed as either 800 USA 1234 or as 800 USA 2222. The operator also wishes to classify as service all calls that arrived on lines 10-30 and which were dialed as 800 USA 1230-1239. The patterns entered by the user at interactive input device 44 are shown below with line numbers in parentheses.

15	(1) sales application
	(2) pattern
	(3) BRI: 2x
	(4) DNIS: 2222
	(5) 1234
20	(6) match
	(7) service application
	(8) pattern
	(9) BRI: 1x
	(10) 2x
25	(11) 30
	(12) DNIS: 123x
	(13) match

Translator module 46 reads this description and creates call discriminator table 40, creating and expanding as necessary the following tables as each line is read: global index to name table (which lists a global index for each named application program), BRI table, and DNIS table. The BRI and DNIS tables each have a local to global translation table (which lists a local application

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index for each digit pattern entered in a field) and a field matrix (as described above).

line 1: global to name table:

	global	name
5	0	sales

line 3: BRI table:

	local to global table:					
	global	local				
	0	0				
10	field matrix:					
	digit: 0	1	2	3	4	5...
			{0}			
		{0}	{0}	{0}	{0}	{0}

line 4: DNIS table:

	local to global table					
	global	local				
	0	0				
	field matrix:					
	digit: 0	1	2	3	4	5...
			{0}			
			{0}			
			{0}			
			{0}			



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line 5: DNIS table:

local to global table

global local

0 0

5 0 1

field matrix:

digit: 0 1 2 3 4 5...

{1} {0}

{0,1}

10 {0} {1}

{0} {1}

line 7: global to name table

global name

0 sales

15 1 service

line 9: BRI table:

local to global table

global local

0 0

20 1 1

field matrix:

digit: 0 1 2 3 4 5...

{1} {0}

{0,1} {0,1} {0,1} {0,1} {0,1} {0,1}

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line 10: BRI table:

local to global table:

	global	local
	0	0
5	1	1
	1	2

field matrix:

digit:	0	1	2	3	4	5...
		{1}	{0,2}			
10	{0,1,2}	{0,1,2}	{0,1,2}	{0,1,2}	{0,1,2}	
	{0,1,2}					

line 11: BRI table:

local to global table:

	global	local
15	0	0
	1	1
	1	2
	1	3

field matrix:

digit:	0	1	2	3	4	5...
		{1}	{0,2}	{3}		
20	{0,1,2,3}	{0,1,2}	{0,1,2}	{0,1,2}	{0,1,2}	
	{0,1,2}					

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line 12: DNIS table:

local to global table:

	global	local
	0	0
5	0	1
	1	2

field matrix:

digit:	0	1	2	3	4	5...
		{1,2}	{0}	{3}		
10			{0,1,2}			
			{0}	{1,2}		
	{2}	{2}	{0,2}	{2}	{1,2}	{2}

After line 13 has been read, the translation is complete, and the final tables are: the global to name table from line 7, the BRI table from line 11, and the DNIS table from line 12. These tables are transmitted to call router 18 and stored as call discriminator table 40 for access by call discriminator 38.

When an incoming call needs to be assigned an application program, call discriminator 38 compares the telephony information associated with the incoming call with the digit patterns entered by the system manager for the candidate application programs. This is done by traversing call discriminator table 40 on a digit-by-digit basis for the telephony information, excluding an application program from the set of candidate application programs when the requirements for an associated field have not been met.

For example, assume a caller dials 800 USA 1230; the call arrives on line 25, and the call discriminator table 40 described above is used. The call's candidate set is set initially to all the application programs. Using global indices, this set is {0,1}. The call data are BRI: 25, DNIS: 1230.

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First, the BRI table is traversed. The candidate set is translated to local applications, using the final BRI local to global table (after translating line 11 above), and becomes {0, 1, 2, 3}. The BRI digit at the  
5 first digit position is 2, and the candidate set is replaced by its intersection with the set in the first row (corresponding to first digit position) of the BRI field matrix, under digit 2, giving a new candidate set

$$\{0,2\} = \{0,1,2,3\} \cap \{0,2\}$$

10 (In this notation the new candidate set is to the left of the = sign; the old candidate set is the first entry to the right of the = sign, and the set in the field matrix is the second entry to the right.) The second BRI digit is 5, so the candidate set is intersected with the set in  
15 the second row under digit 5, and becomes

$$\{0,2\} = \{0,2\} \cap \{0,1,2\}$$

This ends the traversal of the BRI table; converting from local to global indices (using the final local to global table after translating line 11), the candidate set  
20 becomes {0,1}. Thus, on the basis of BRI value alone, the application program may be either application 0, sales, or application 1, service.

Traversing the DNIS table, the candidate set is initially, in local indices (from the DNIS table after  
25 translating line 12), {0,1,2}. Traversing the DNIS digits 1230, the candidate set (in local indices) becomes successively,

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$$\begin{aligned}\{1,2\} &= \{0,1,2\} \cap \{1,2\} \\ \{1,2\} &= \{1,2\} \cap \{0,1,2\} \\ \{1,2\} &= \{1,2\} \cap \{1,2\} \\ \{2\} &= \{1,2\} \cap \{2\}\end{aligned}$$

5 Hence, the call belongs to local application 2, which translates to global application 1, service.

If more than one application program remains in the candidate set after traversing call router table 40, of the remaining application programs, the one that was entered last by the system manager is selected. If no  
10 application program remains, a default program is selected.

The candidate sets and sets in the field matrices are represented as bit patterns, and each element of the  
15 set is represented by a bit turned on in the set. The intersection of two sets is the logical AND of two bit patterns, an extremely fast machine operation, resulting in fast execution time for the call discrimination procedure.

20 After an application program has been selected, routing interpreter 34 continues processing handling of the incoming call according to that application program. Call discriminator 38 can be recalled from an application program after more information has been obtained about  
25 the call. An application program that does not have the DIALED field may direct a call to voice response unit 25, which then asks the caller to enter digits in response to prompts and then returns control to call discriminator 38 to traverse field table 40 once again, this time with  
30 digits in the DIALED field, now permitting a match with an application program that has digit patterns for the DIALED field. No incoming call would ever be transferred directly to an application program having digit patterns for the DIALED field. An advantage of the invention is

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that it allows a refinement of the call discrimination (separating calls on the basis of the dialed digits in the example above) by simply listing more applications and patterns, without the need for user programming in  
5 the call processing programs.

The call discrimination subsystem allows the system manager to specify digit patterns for the various fields in many combinations in order to make fine distinctions between different calls and to process the  
10 calls differently.

#### Agent Selector Mechanism

Agent selector mechanism 36 maintains lists of quantitative agent selection values that are used to select which agent of a plurality of available agents is  
15 to receive an incoming call. A plurality of quantitative values relating to different criteria are maintained for each agent. A criterion can be static or dynamic. An example of a static criterion is an agent rank assigned by an agent supervisor. Examples of dynamic criteria,  
20 which are updated automatically by the system, are:

- amount of time the agent has been ready to receive calls,
- total sales by the agent, and
- average time to handle a call.

25 The static and dynamic values are maintained in associated fields in agent selector mechanism 36. The supervisor of an agent pool at agent supervisor station 24 or the system manager at console 20 selects which of the four fields (i.e., agent rank and the three dynamic  
30 fields just mentioned) will be used to rank agents. Each of these fields has an associated offset value for each agent that has been set statically by the agent supervisor. Every time the agent completes a call, or becomes ready, the dynamic fields are updated by state

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manager 30. An effective selection value is calculated by subtracting the field offset from the current selected field value. As agents become ready, they are ordered in increasing effective field value, and a call queued for that agent pool is given to the ready agent at the head of the queue (highest effective value of the selected field).

Fig. 4 shows field and offset values for four hypothetical agents A, B, C, D. The agent supervisor has assigned the quantitative ranks listed in the third row and an offset value of 500 for the total sales field for agent C and an offset of 2 for the calls per hour field for agent D. The field values for availability (i.e., the time that the agent has been waiting in the available state ready to receive a call), total sales for a given period (e.g., that day), and calls per hour are continuously updated by state manager 32 as new information is made available to it. Which agent is selected to receive the next call depends upon which field has been selected by the agent supervisor, as is shown in Fig. 5. If the availability field is selected, agent C receives the first call, agent A the second call, and so on. If the sales field is selected, agent A receives the first call, agent B the second, and agent C the third, even though agent C has higher total sales, because agent C's offset gives it an effective value that is less than that of agent A and agent B.

If an agent supervisor wishes to give the next call to the agent with the highest sales, he sets all the offsets (for total sales) to 0 and selects total sales as the field. When introducing a trainee agent into such an agent pool, the supervisor would probably set the trainee agent's offset to a large positive value. The trainee agent will have a lower effective field value than a normal agent in the same pool with the same sales,

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because the trainee agent has a non-zero offset. The number of calls the trainee agent is offered could be increased gradually by decrementing the offset gradually.

Fig. 6 lists ranking criterion and offsets that could be selected depending upon whether the agents are in sales, service or support pools. The agent selector mechanism thus allows agents in an agent pool to be assigned calls based on a user defined criterion, permitting a supervisor to affect agent selection in a way that is designed to promote performance or meeting other call center objectives. The offset feature permits the supervisor to fine tune the system based upon the unique characteristics of the individual agents in the agent pool.

15 Before/After Mechanism

The before/after mechanism is used to specify actions to be taken before and after actual handling of a call by an agent. It is implemented as an integral part of routing interpreter 34 that is configured by the system manager using a simplified language. The mechanism is employed during running of an application program prior to agent selection to identify what actions are to be taken. Pointers to lists of instructions for the actions are placed in the call record for a call at the time of assigning an agent pool, and the identified actions are then executed after the selection of an agent. The specified actions can be different for each agent pool before which the call is queued, and are triggered only if the call is transferred to an agent in the corresponding pool.

The before mechanism causes a list of user specified instructions to be executed just prior to transferring a call to an agent. The following is an example of a program fragment (written in the simplified



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call processing language used at interface 44) that implements the before mechanism:

```

      AddAgentPool  SALES1
      before
5          DumpCallData;
          SupervisorMonitor;
      done;
      AddAgentPool  SALES2
      before
10         ChangeAgentScreen;
      done;
```

It has the following effect: an incoming call is queued before the SALES1 and the SALES2 agent pools. If an agent in SALES1 becomes ready to take the call, first, 15 the call data are delivered to a host database (the DumpCallData statement); second, the agent's supervisor is directed to monitor the agent (SupervisorMonitor statement), and, third, the call is connected to the agent in SALES1. However, if it is an agent in SALES2 20 that becomes ready to take the call then, first, the agent's screen is changed, and, second, the call is connected to the agent.

The after mechanism causes a list of user specified instructions to be executed after a call has 25 been released by the agent. The following is an example of a program fragment that implements the after mechanism:

```

      AddAgentPool  SALES1
      after
30         ChangeAgentScreen;
      done;
      AddAgentPool  SALES2
      after
          SupervisorMonitor
35         done;
```

- 24 -

It has the following effect: an incoming call is queued before the agent pools SALES1 and SALES2. If the call is answered by an agent in SALES1, then, after the call has been released by the agent, the agent's screen is

5 modified. If the call is answered by an agent in SALES2, then, after the call has been released by the agent, the agent is monitored by a supervisor.

Fig. 7 shows the effect that the before/after mechanism has on call handling as seen by the agent. The

10 first screen shows the screen when an agent in the SALES1 agent pool is ready for a call. After a call has been assigned to this agent and before it is actually transferred to him, the DumpCallData command of the before mechanism results in call information (e.g., from

15 a customer file) appearing on the screen at that agent station 14. After the call has been released by the agent, the after mechanism executes the ChangeAgentScreen command, resulting in the last screen on Fig. 7, used, e.g., to enter follow-up information about a call before

20 going into the ready state to take another call. The before/after mechanism thus permits the system manager to easily configure the system to automatically change the way that an agent station operates depending on what application program is employed and what pool of agents

25 receives the call.

As is mentioned above, the before/after mechanism is implemented using a stack of pointers to instruction lists in routing table 37. The statement:

	AddAgentPool	<name>
30	before	<list1> done
	after	<list2> done,

entered by the agent supervisor or system manager, is transformed into the stack:

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	pointer to start of	<list1>	top of
	stack		
	connect to agent in	<name>	
	pointer to start of	<list2>	
5	STOP		bottom of stack

This stack is inserted into the call record for the incoming call, and is associated both with the call and the agent pool (identified by name) before which it is queued. If an agent in the pool becomes ready to take the call, the agent's identifier is inserted in the middle statement (connect to agent in <name>), and the stack of instructions is executed, beginning at the top. When <list1> (the before list) has been executed, the next statement is connect to agent, which effects the transfer of the call to the agent. When the call is released (i.e., after the agent and caller have been disconnected from each other), that statement is deemed to have been completed, and the rest of the stack (<list2>, the after list of statements) is executed.

Figs. 8-10 show the before/after statements (Fig. 8), the resulting stack of pointers (Fig. 9) to implement the statements, and a flow chart (Fig. 10) for executing the instructions indicated by the stack of pointers for before commands b1-bn and after commands a1-an.

This approach also allows nested before and after statements (before and after clauses in <list1>, <list2> which are implemented by normal stack operations).

Some additional examples of actions that are user configurable employing the before mechanism are described below. In these examples, the call is queued, successively, before agent pools SALES 1 and SALES2. Comparable applications are possible using the after mechanism.

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1) Allowing a supervisor group to monitor all the calls answered by a SALES1 agent:

```
      AddAgentPool  SALES1
        before SupervisorMonitor;
5      done;
      AddAgentPool  SALES2
```

2) Allowing a supervisor group to monitor 1% of all the calls answered by a SALES1 agent;

```
      AddAgentPool  SALES1
10     before
        sample 100 do SupervisorMonitor
done;
      done;
      AddAgentPool  SALES2
```

15 3) Playing an agent specific recording to the caller just before the call is answered by an agent in the pool:

```
      AddAgentPool  SALES1
        before
          play SALES1_REC;
20     done;
      AddAgentPool  SALES2;
        before
          play SALES2_REC;
done;
```

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4) Connecting any agent that answers a call to an external database, with customer information from the database appearing on the agent screen:

```

5      AddAgentPool  SALES1
        before
            DumpCallData;
        done;
      AddAgentPool  SALES2
        before
10      DumpCallData;
        done;
```

Example 2 illustrates the ability of call router 18 to count the number of incoming calls and to handle a portion of the total number of calls by a different method than the remainder of the calls. In Example 2, 15 for 1% of the incoming calls, the agent supervisor is connected to listen to a conversation between an agent and a caller. Alternatively, the agent supervisor could be selected as the agent to receive an incoming call in 20 order to determine the types of incoming calls. Instead of counting all incoming calls, the call router could count calls that have reached a specified step in the call handling process, e.g., calls that have waited 45 seconds. The sample capability could also be used to 25 play different messages to different incoming callers to evaluate the effectiveness of the messages.

#### Other Embodiments

Other embodiments of the invention are within the scope of the following claims.

30 What is claimed is:

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1           1. A system for automatically handling incoming  
2 telephone calls comprising  
3           means for receiving a said incoming telephone call  
4 arriving at an incoming line,  
5           means for receiving telephony information about  
6 said incoming telephone call,  
7           means for assigning one of a plurality of possible  
8 application programs to handle said call based upon said  
9 information, said means for assigning including a call  
10 discrimination subsystem,  
11           at least one said application program  
12 including queries for obtaining further information about  
13 said call, and  
14           means for returning control to said call  
15 discrimination subsystem to reassign said call based upon  
16 said telephony information and said further information.

1           2. The system of claim 1 wherein said means for  
2 receiving receives incoming telephone calls arriving at  
3 one of a plurality of different lines, and said call  
4 discrimination subsystem is used to assign calls for said  
5 plurality of incoming lines.

1           3. A system for automatically handling incoming  
2 telephone calls comprising  
3           means for receiving a said incoming telephone call  
4 arriving at an incoming line,  
5           means for receiving telephony information about  
6 said incoming telephone call,  
7           means for assigning one of a plurality of possible  
8 application programs to handle said call based upon said  
9 information, said means for assigning including a call  
10 discrimination subsystem, and

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11           input means for a user to enter telephony digit  
12 patterns that are associated with respective said  
13 application programs,  
14           wherein said call discrimination subsystem  
15 compares said telephony information with said digit  
16 patterns when assigning a said application program.

1           4. The system of claim 3 wherein said digit  
2 patterns include patterns for plural fields corresponding  
3 to different types of telephony information.

1           5. The system of claim 4 wherein said fields  
2 include a calling line identification field and a dialed  
3 number field.

1           6. The system of claim 4 wherein said call  
2 discrimination subsystem includes a translation module  
3 that translates said patterns into assignment logic for  
4 assigning said incoming calls, said translation module  
5 treating all patterns within the same field as logical OR  
6 operations and patterns in different fields as logical  
7 AND operations in creating said assignment logic.

1           7. The system of claim 6 wherein said assignment  
2 logic created by said translation module includes a  
3 global name table that lists a global application index  
4 (GAI) for each possible application program, a global-  
5 to-local application table (GLAT) for each field, and a  
6 field matrix (FM) for each field, said GLAT matching each  
7 GAI to local application indexes (LAI) that each identify  
8 a said telephony pattern associated with a said  
9 application program, said FM including pattern digit  
10 values along one axis and pattern digit positions along  
11 another axis and sets of LAI values at the intersections  
12 of digit values and digit positions.

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1           8. The system of claim 7 wherein said call  
2 discriminator subsystem assigns an incoming call by  
3 establishing an initial global candidate set (GCS) of  
4 possible application programs that includes all GAIs and  
5 thereafter traversing said FMs for each field, the  
6 traversing for each field including first establishing a  
7 local candidate set (LCS) for each field that includes  
8 all LAIs in the respective GLAT and thereafter replacing  
9 said LCS with the intersection of the LCS with the LAIs  
10 in the FM at locations in the FM corresponding to digits  
11 in the field of the incoming call, the GCS being replaced  
12 with the intersection of the GCS with the GAIs associated  
13 with LAIs remaining at the completion of the traversal of  
14 each FM.

1           9. The system of claim 8 wherein said traversing  
2 of said FMs includes comparing the value of each digit of  
3 a field one digit position at a time and replacing the  
4 LCS with the intersection of the LCS with the LAIs  
5 located at the corresponding digit value and digit  
6 position in the FM.

1           10. The system of claim 9 wherein, if more than  
2 one GAI remain in said GCS after the completion of  
3 traversing of said FMs, then said call discriminator  
4 subsystem selects the last GAI remaining in said GCS.

1           11. The system of claim 10 wherein said call  
2 discriminator subsystem selects a default GAI if no GAI  
3 remains in said GCS after the completion of traversing of  
4 said FMs.



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1           12. The system of claim 8 wherein unspecified  
2 digits (U) are inserted into the telephony information  
3 for an incoming call when the incoming call does not have  
4 associated telephone information for a field.

1           13. The system of claim 4 wherein said fields  
2 include an incoming line identification field.

1           14. The system of claim 4 wherein said input  
2 means includes means for a user to enter caller response  
3 digit patterns that are associated with respective said  
4 application programs, and said call discrimination system  
5 also compares caller response information with said  
6 caller response digit patterns when assigning a said  
7 application program.

1           15. The system of claim 1 wherein said at least  
2 one said application program includes queries for  
3 obtaining said further information from a storage means  
4 containing information relating to said incoming call.

1           16. The system of claim 15 wherein said storage  
2 means includes customer files, and said further  
3 information is obtained from said customer files.

1           17. The system of claim 15 wherein said storage  
2 means is an external database.

1           18. The system of claim 15 wherein said storage  
2 means is a host database.

1           19. The system of claim 14 wherein said caller  
2 response digit patterns identify an account number and  
3 said account number is used to obtain said further  
4 information from a storage means.

1           20. The system of claim 9 wherein said input  
2 means includes means for a user to enter caller response  
3 digit patterns that are associated with respective said  
4 application programs, and wherein said translator module  
5 creates a GLAT and an FM for a caller response field, and  
6 said call discrimination system also compares caller  
7 response information with said caller response digit  
8 patterns when assigning a said application program.

1           21. The system of claim 20 wherein a said  
2 application program includes queries for obtaining said  
3 caller response information and means for thereafter  
4 returning control to said call discrimination subsystem  
5 to reassign said call based upon said telephony  
6 information and said caller response information.

1           22. A system for automatically handling incoming  
2 telephone calls comprising  
3           means for receiving a said incoming telephone  
4 call,  
5           means for maintaining a list of quantitative agent  
6 performance values for possible agents for receiving said  
7 incoming call, said agent performance values relating to  
8 the performance of said agent in handling calls,  
9           means for monitoring performance of said agents  
10 and updating said performance values, and  
11           means for selecting one of said possible agents to  
12 receive said call based upon said performance values.

1           23. The system of claim 22 wherein said means for  
2 monitoring monitors total sales by each agent, and said  
3 list is a list of total sales made by each agent.

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1           24. The system of claim 22 wherein said means for  
2 monitoring monitors average time spent per call by each  
3 agent, and said list is a list of average time to handle  
4 a call by each agent.

1           25. A system for automatically handling incoming  
2 telephone calls comprising  
3           means for receiving a said incoming telephone  
4 call,  
5           means for maintaining a list of quantitative agent  
6 selection values for possible agents for receiving said  
7 incoming call,  
8           means for modifying said selection values based  
9 upon respective offset values assigned to said agent  
10 selection values, and  
11           means for selecting one of said possible agents to  
12 receive said call based upon said selection values in the  
13 list.

1           26. A system for automatically handling incoming  
2 telephone calls comprising  
3           means for receiving a said incoming telephone  
4 call,  
5           means for maintaining a plurality of lists of  
6 quantitative agent selection values for possible agents  
7 for receiving said incoming call,  
8           each said list corresponding to a different  
9 criteria of agent selection,  
10           input means for a user to select which said list  
11 is to be employed by said means for selecting, and  
12           means for selecting one of said possible agents to  
13 receive said call based upon said selection values in the  
14 list selected via said input means.

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1           27. The system of claim 26 wherein said lists  
2 include a total sales list that lists the total sales  
3 made by each agent.

1           28. The system of claim 26 wherein said lists  
2 include a call time list that lists the average time to  
3 handle a call by each agent.

1           29. The system of claim 26 wherein said lists  
2 include an agent rank list that lists an agent  
3 performance rank assigned to each agent.

1           30. The system of claim 26 wherein said lists  
2 include a waiting time list indicating the amount of time  
3 that each agent has been ready to receive a call.

1           31. A system for automatically handling incoming  
2 telephone calls comprising  
3           means for receiving a said incoming telephone  
4 call,  
5           means for selecting one agent of a plurality of  
6 possible agents to receive said call, and  
7           means for providing one command of a plurality of  
8 possible commands regarding the handling of said incoming  
9 call, the selection of said one command being dependent  
10 upon which agent has been selected.

1           32. The system of claim 31 wherein said commands  
2 include a command to play a message to the caller prior  
3 to connecting the incoming call to said one agent.

1           33. The system of claim 31 wherein said commands  
2 include a command to communicate with an agent supervisor  
3 regarding said call.

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1           34. The system of claim 31 wherein said commands  
2 include a command to obtain information from an external  
3 database and send said information to said one agent  
4 prior to connecting said incoming call to said one agent.

1           35. The system of claim 31 wherein said commands  
2 include a command to take some action after said incoming  
3 call has been disconnected from said agent.

1           36. The system of claim 31 further comprising  
2 user input means for a user to enter commands that are to  
3 be employed for respective said agents.

1           37. The system of claim 36 wherein said input  
2 means includes means to input a list of agent pool  
3 identifiers and actions to be taken before connecting the  
4 incoming call to an agent in the indicated pool and  
5 actions to be taken after the incoming call has been  
6 disconnected from the agent in the indicated pool, and  
7 said system further comprises means to translate said  
8 list into a list of pointers to instruction lists to  
9 provide said commands to cause the indicated actions.

1           38. The system of claim 37 wherein said lists of  
2 pointers include a pointer to instructions to connect to  
3 an agent in a particular pool.

1           39. The system of claim 38 wherein said pointer  
2 is changed to identify a particular agent after said one  
3 agent has been selected.

1           40. The system of claim 31 further comprising  
2 means for counting the number of incoming calls and, for  
3 some number of calls that is smaller than the total  
4 number of incoming calls, provide a command for handling

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5 the smaller number of calls in a different manner than  
6 the remainder of the calls.

1           41. The system of claim 31 further comprising  
2 means for counting the number of incoming calls that have  
3 reached a specified step in the handling of said incoming  
4 calls and, for some number of calls that have reached  
5 said specified step that is less than the total number of  
6 calls reaching said specified step, handling the smaller  
7 number of calls in a different manner.

1           42. The method of claim 40 wherein said handling  
2 in a different manner includes communicating with an  
3 agent supervisor regarding an incoming call.

1           43. The system of claim 42 wherein said agent  
2 supervisor is connected to listen to a conversation  
3 between said one agent and a caller making said incoming  
4 call.

1           44. The system of claim 42 wherein said agent  
2 supervisor is selected as said one agent to receive said  
3 incoming call.

1           45. The method of claim 40 wherein said handling  
2 in a different manner includes playing a message that is  
3 not played during handling of the other calls.

1           46. A system for automatically handling incoming  
2 telephone calls comprising  
3           means for receiving a said incoming telephone  
4 call,  
5           means for selecting one agent of a plurality of  
6 possible agents to receive said call,

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7 means for providing a command regarding the  
8 handling of said incoming call, and  
9 means for counting the number of incoming calls  
10 and, for some number of calls that is smaller than the  
11 total number of incoming calls, providing a command for  
12 handling the smaller number of calls in a different  
13 manner than the remainder of the calls.

1 47. A system for automatically handling incoming  
2 telephone calls comprising  
3 means for receiving a said incoming telephone  
4 call,  
5 means for selecting one agent of a plurality of  
6 possible agents to receive said call,  
7 means for providing a command regarding the  
8 handling of said incoming call, and  
9 means for counting the number of incoming calls  
10 that have reached a specified step in the handling of  
11 said incoming calls and, for some number of calls that  
12 have reached said specified step that is less than the  
13 total number of calls reaching said specified step,  
14 providing a command for handling the smaller number of  
15 calls in a different manner.

1 48. The method of claim 46 wherein said handling  
2 in a different manner includes communicating with an  
3 agent supervisor regarding an incoming call.

1 49. The system of claim 48 wherein said agent  
2 supervisor is connected to listen to a conversation  
3 between said one agent and a caller making said incoming  
4 call.

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1           50. The system of claim 48 wherein said agent  
2 supervisor is selected as said one agent to receive said  
3 incoming call.

1           51. The method of claim 46 wherein said handling  
2 in a different manner includes playing a message that is  
3 not played during handling of the other calls.



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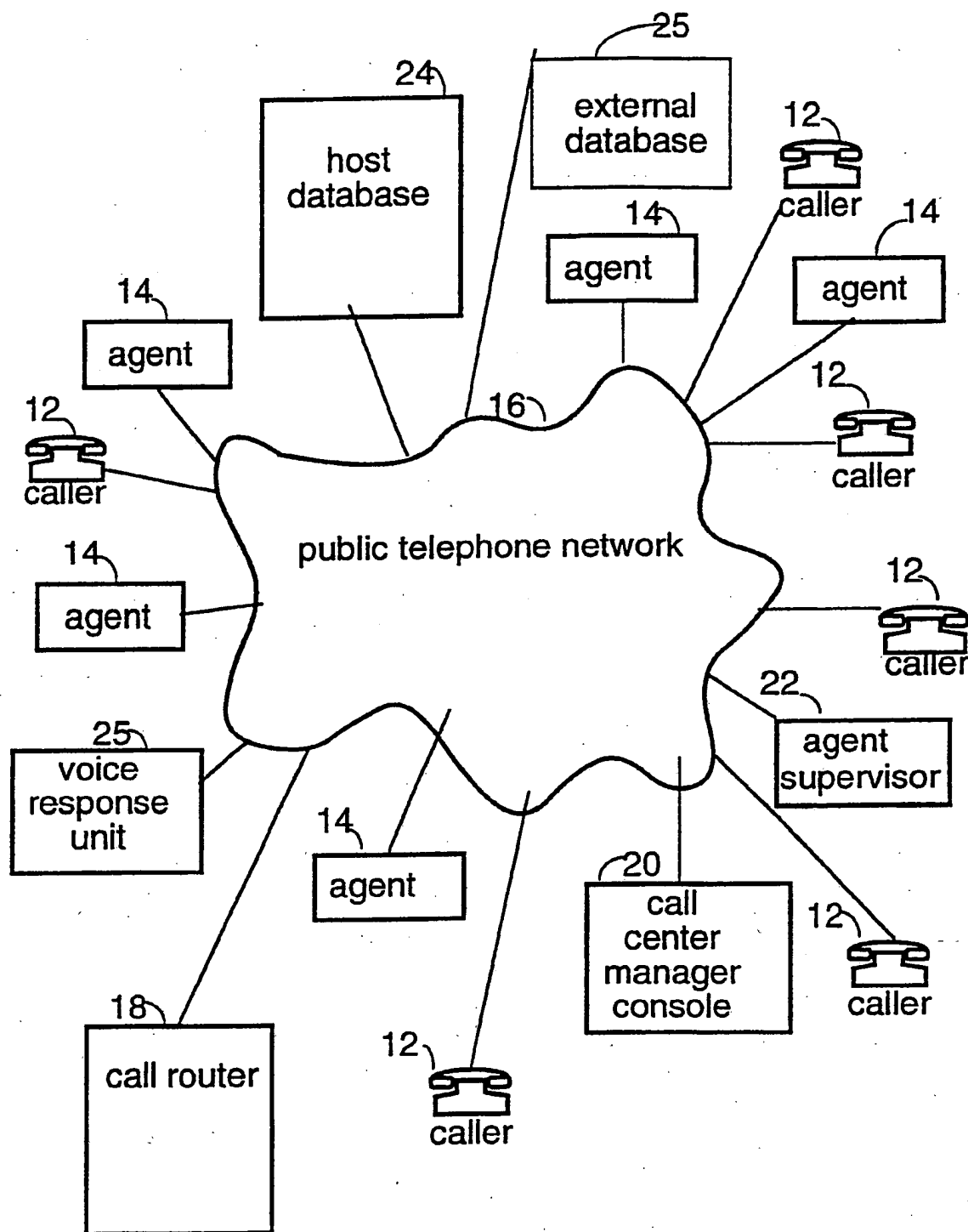


Figure 1

SUBSTITUTE SHEET

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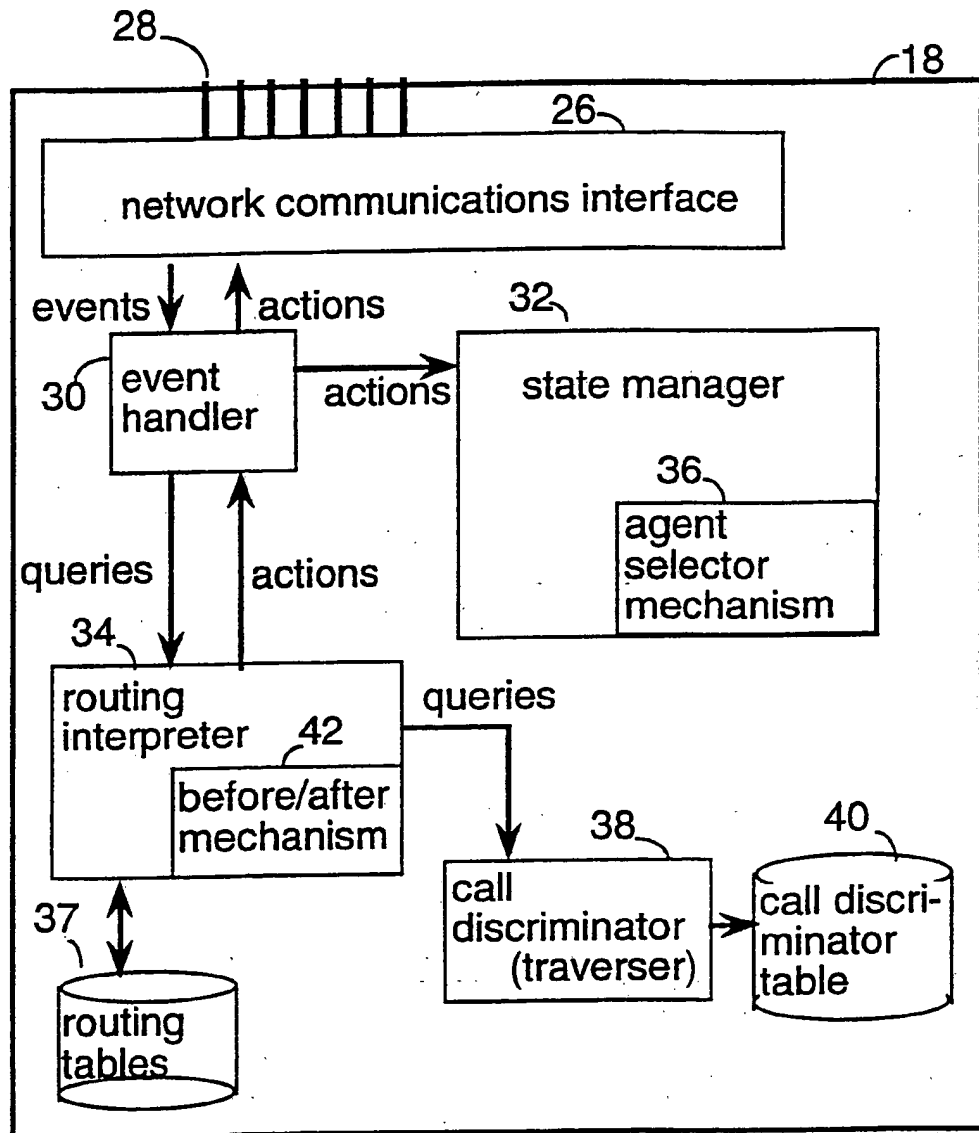
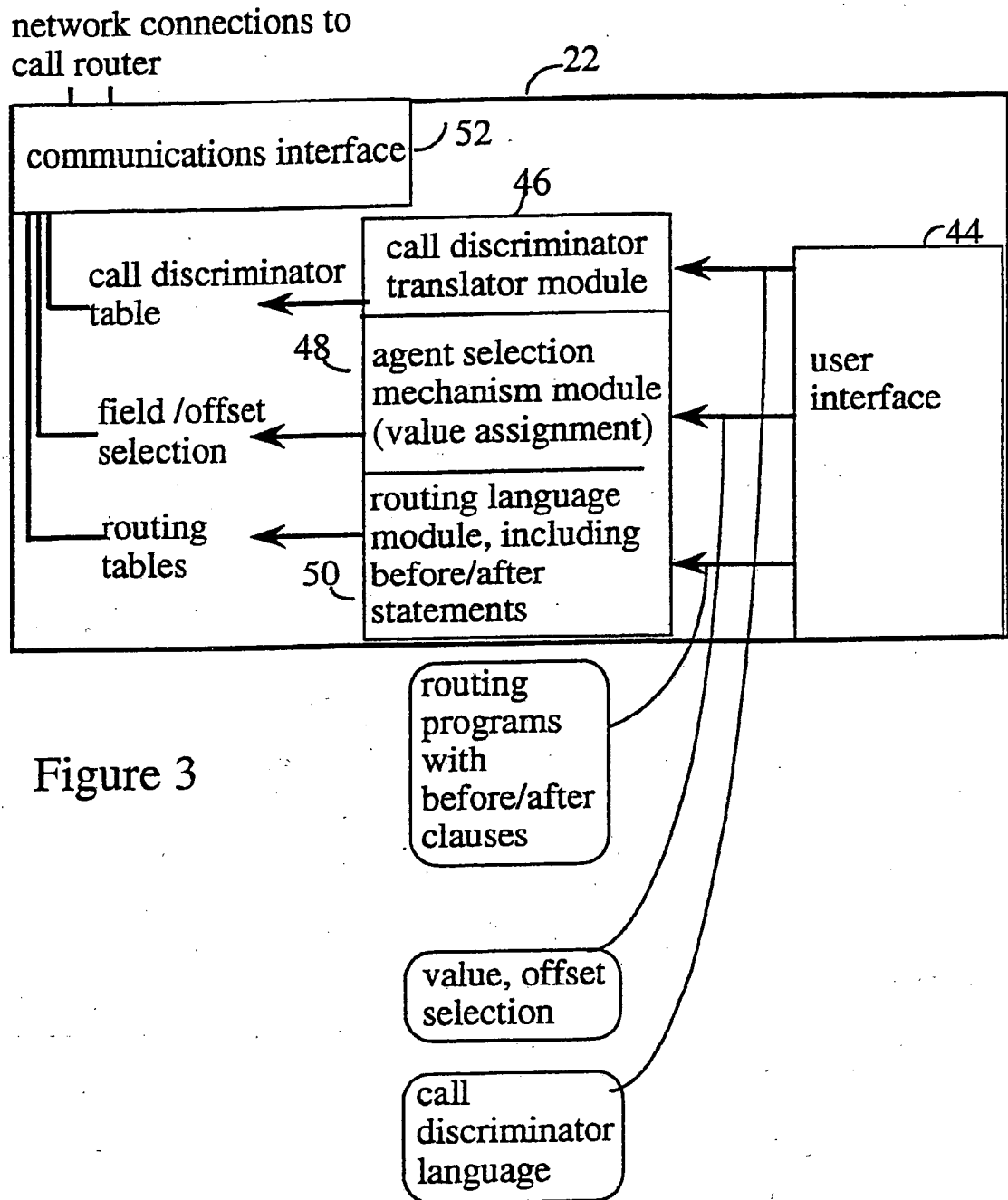


Figure 2

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Field Value, Offset for Agent

Field	Agent A	Agent B	Agent C	Agent D
avail	320, 0	170, 0	352, 0	270, 0
sales	1452, 0	1290, 0	1732, 500	1100, 0
rank	11, 0	10, 0	4, 0	8, 0
calls/hr	17, 0	19, 0	7, 0	22, 2

Figure 4

Agent Order Based upon Selected Field

Field	first	second	third	fourth
avail	C	A	D	B
sales	A	B	C	D
rank	A	B	D	C
calls/hr	D	B	A	C

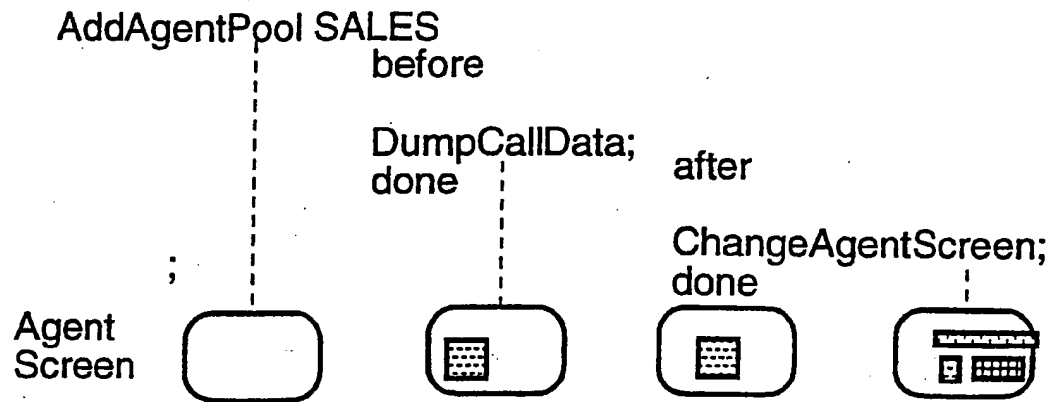
Figure 5

SUBSTITUTE SHEET

Pool	Ranking criterion / Agent offset
<b>Service</b>	<ul style="list-style-type: none"><li>• Available agents queued by longest waiting time</li><li>• Ten second extra wait for trainees</li></ul>
<b>Sales</b>	<ul style="list-style-type: none"><li>• Available agents queued by value of sales</li><li>• Additional advantage based on yesterday's sales</li></ul>
<b>Support</b>	<ul style="list-style-type: none"><li>• Available agents queued by number of calls answered</li><li>• Equal treatment for everyone</li></ul>

Figure 6

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call state	queued before SALES	assigned to be answered by agent in SALES	active, connected to agent in SALES	exited, just released by agent in SALES
agent state	ready to receive call	receiving call; call info appearing	active with call	screen appearance changing after call completion

Figure 7

SUBSTITUTE SHEET

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routing program with  
before/after statements:

AddAgentPool SALES  
before

<command b1>  
<command b2>  
<command b3>

after

<command a1>  
<command a2>  
<command a3>

call router instructions:

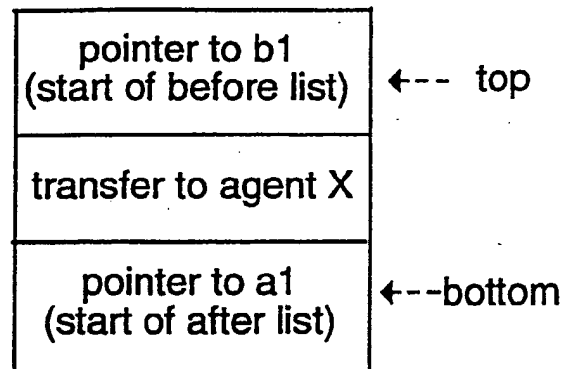


Figure 9

/\* end of AddAgentPool  
statement \*/

Figure 8

If an agent in  
SALES  
is chosen for the  
call,  
then:

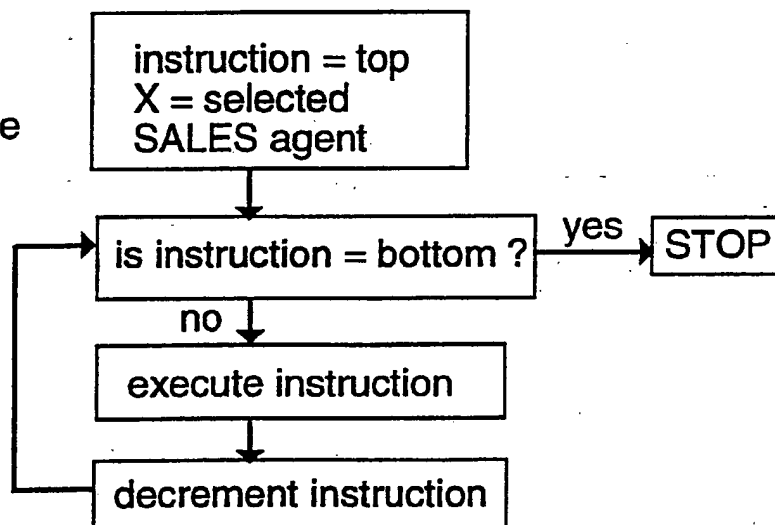


Figure 10

# INTERNATIONAL SEARCH REPORT

International Application No. PCT/US91/08475

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>6</sup>		
According to International Patent Classification (IPC) or to both National Classification and IPC		
IPC(5): H04M 3/00		U.S. CL.: 379/242
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched <sup>7</sup>		
Classification System	Classification Symbols	
U.S. CL.	379/248, 201, 309, 210, 211, 212, 214, 242, 265	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>8</sup>		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> <sup>9</sup>		
Category <sup>*</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
Y, P	US, A, 5,027,384 (MORGANSTEIN), 25 JUNE 1991 See abstract and summary of invention	1- 15 and 31 -45
Y, P	US, A, 5,029,196 (MORGANSTEIN), 02 JULY 1991 See abstract and summary of invention	1- 15 and 31 -45
Y	US, A, 4,723,273 (DIESEL ET. AL.), 02 FEBRUARY 1988 See summary of invention	22 - 30
Y,P	US, A, 5,023,868 (DAVIDSON ET. AL), 11 JUNE 1991 See abstract.	22 - 30
Y,P	US, A, 5,008,930 (GAWRYS ET. AL.) 16 APRIL 1991 See abstract.	16 - 19
Y	US, A, 4,400,587 (TAYLOR ET. AL.) 23 AUGUST 1983 See abstract.	46 - 51
A	US, A, 4,879,743 (BURKE ET. AL.), 07 NOVEMBER 1989 See Abstract.	1 - 51
<p><sup>*</sup> Special categories of cited documents: <sup>10</sup></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"Δ" document member of the same patent family</p>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search		Date of Mailing of this International Search Report
18 FEBRUARY 1992		March 4, 1992
International Searching Authority		Signature of Authorized Officer
ISA/US		NGUYEN NGOC-BO F0 AHMAD MATAR